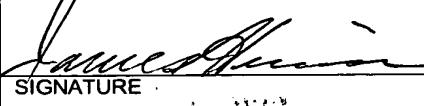


Form PTO-1390 U.S. DEPARTMENT OF COMMERCE AND TRADEMARK OFFICE (REV. 11-2000)		Atty. Docket No. 010100-120
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. Corresponds to PCT/AU03/00023 (If known, see 37 CFR 1.5) 10/501582
INTERNATIONAL APPLICATION NO. PCT/AU03/00023	INTERNATIONAL FILING DATE January 14, 2003	PRIORITY DATE CLAIMED PR9949 – January 14, 2002 PS1717 – April 16, 2002
TITLE OF INVENTION A FIN ASSEMBLY		
APPLICANT(S) FOR DO/EO/US Troy CLUTTON & Dean COLE		
Applicant herewith submits to the United States Designated/Elected Office (EO/DO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c) (2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c) (3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c) (3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (Executed copy) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 37(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording (Executed copy). A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Post Card 		

10/501582

U.S. Application (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. Corresponds to PCT/AU03/00023	Atty. Docket No. 010100-120		
<p><input type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)</p>		CALCULATIONS PTO Use Only		
		\$1,080		
		\$ 860		
		\$ 710		
		\$ 690		
		\$ 100		
ENTER APPROPRIATE BASIC FEE AMOUNT		\$1,080.00		
=				
Surcharge of \$130 for furnishing the oath or declaration later than 30 <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total Claims	56 - 20 =	36	X \$ 18	\$ 648.00
Independent Claims	11 - 3 =	8	X \$ 80	\$ 688.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)		+ \$290	\$ 290.00	
TOTAL OF ABOVE CALCULATIONS		=		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$		
SUBTOTAL		\$2,706.00		
=				
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest priority date (37 CFR 1.492(f)).		\$		
TOTAL NATIONAL FEE		\$2,706.00		
=				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		\$40		
TOTAL FEES ENCLOSED		= \$2,746.00		
		Amount to be refunded:	\$	
		Charged:	\$	
<p>a. <input checked="" type="checkbox"/> A check, No. 3557, in the amount of \$2,706.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 50-0655 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0655. A duplicate copy of this sheet is enclosed.</p>				
SEND ALL CORRESPONDENCE TO: HENRICKS, SLAVIN & HOLMES, LLP 840 Apollo Street, Suite 200 El Segundo, CA 90245		 SIGNATURE James A. Henricks Registration Number 31,168		
Attention: James A. Henricks Telephone: 310-563-1456 Fax: 310-563-1460 Email: jhenricks@hsh-iplaw.com				
Customer No.		21836		
PATENT TRADEMARK OFFICE				

**TRANSMITTAL LETTER TO THE
UNITED STATES DESIGNATED/ELECTED
OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35
U.S.C. 371**

Attorney Docket No.	010100-120	Total Pages	2
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First Named Inventor or Application Identifier:
Troy CLUTTON & Dean COLE

Title: **A FIN ASSEMBLY**

Express Mail Label No. **EV421393224US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Commissioner for Patents
Mail Stop PCT
P.O. Box 1450
Alexandria, VA 22313-1450**

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing.)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification Total Pages 22	7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- Descriptive title of the invention	
- Cross References to Related Applications	
- Statement Regarding Fed sponsored R & D	
- Reference to Microfiche Appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 5; FIGS. 1-9	8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents)
4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages 2	9. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input type="checkbox"/> Power of Attorney
a. <input checked="" type="checkbox"/> Executed (copy)	
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 17 completed) (Note Box 5 below)	
i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).	
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of Statement (IDS)/PTO-1449 Citations (as needed)
12. Preliminary Amendment	
a. <input checked="" type="checkbox"/> Amendment to Claims	
b. <input checked="" type="checkbox"/> Amend the Specification by adding the following immediately after the Title: "This application is a National Stage filing of PCT/AU03/00023, filed January 14, 2003."	
13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior appln. Statement(s) Status still proper and desired.	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed)	
16. <input type="checkbox"/> Other:	

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

Continuation Divisional Continuation-in-part (CIP) of prior application No. :

Prior application information: Examiner: **N/A** Group/Art Unit: **N/A**

COPY

10/501582

DTG4 Rec'd PCT/PTO 14 JUL 2004

18. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer No.		21836		Or <input type="checkbox"/> New correspondence address Below	
Attn: James A. Henricks		HENRICKS, SLAVIN & HOLMES LLP 840 Apollo Street, Suite 200 El Segundo, California 90245 U.S.A.			Telephone (310) 563-1456 Fax (310) 563-1460

FEE CALCULATION

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS (\$)
	TOTAL CLAIMS (37 CFR 1.16(c))	56 - 20	= 36	x \$ 18	648
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	11 - 3	= 8	x \$ 86	688
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$ 290.00	290.00
				BASIC FEE (37 CFR 1.16(a))	1,080.00
	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months				0
	From the earliest claimed priority date (37 CFR 1.492(e))	Total of above Calculations =		2,706.00	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)			00	
	TOTAL =			\$2,706.00	

19. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0655:

- Fees required under 37 CFR 1.16.
- Fees required under 37 CFR 1.17.
- Fees required under 37 CFR 1.18.

20. A check in the amount of \$ 2706.00, No. 3557, is enclosed.

21. Other:

Issuance of the official Filing Receipt at an early date is in order.

Express Mailing Label No. **EV421393224US**
I hereby certify that on July 14, 2004, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.110, addressed to Commissioner for Patents, Box PCT, Washington, D.C. 20231.

James A. Henricks
James A. Henricks

Dated: July 14, 2004

James A. Henricks
James A. Henricks, Registration No. 31,168

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